



# CS491/691: Introduction to Aerial Robotics

## **Topic: Recapitulate 2 – Advanced Topics**

Dr. Kostas Alexis (CSE)

# Contents

- ▶ We will recapitulate selected topics in:
  - ▶ Kalman Filter
  - ▶ Extended Kalman Filter
  - ▶ Exploration Path Planning





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## **Topic: State Estimation – Kalman Filter**

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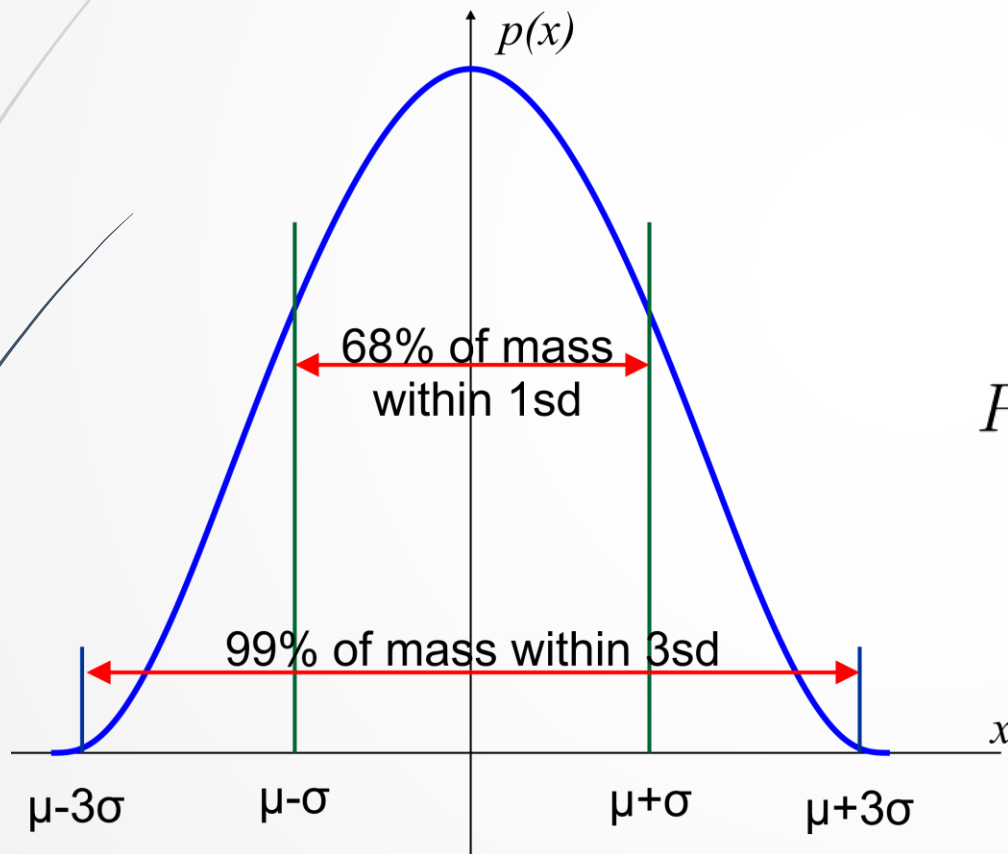


# Kalman Filter

- ▶ Bayes filter is a useful tool for state estimation.
- ▶ Histogram filter with grid representation is not very efficient.
- ▶ How can we represent the state more efficiently?

# Kalman Filter

■ Univariate distribution



$$X \sim \mathcal{N}(\mu, \sigma^2)$$

mean

Variance (squared standard deviation)

$$P(X = x) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{1}{2} \frac{(x - \mu)^2}{\sigma^2}\right)$$

# Kalman Filter

- ▶ Multivariate normal distribution:  $\mathbf{X} \sim \mathcal{N}(\mu, \Sigma)$
- ▶ Mean:  $\mu \in \mathcal{R}^n$
- ▶ Covariance:  $\Sigma \in \mathbf{R}^{n \times m}$
- ▶ Probability density function:

$$p(\mathbf{X} = \mathbf{x}) = \mathcal{N}(\mathbf{x}; \mu, \Sigma) = \frac{1}{(2\pi)^{n/2} |\Sigma|^{1/2}} \exp\left(-\frac{1}{2}(\mathbf{x} - \mu)^T \Sigma^{-1}(\mathbf{x} - \mu)\right)$$

# Properties of Normal Distributions

- Linear transformation – remains Gaussian

$$\mathbf{X} \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Sigma}), \mathbf{Y} \sim \mathbf{A}\mathbf{X} + \mathbf{B} \\ \Rightarrow \mathbf{Y} \sim \mathcal{N}(\mathbf{A}\boldsymbol{\mu} + \mathbf{B}, \mathbf{A}\boldsymbol{\Sigma}\mathbf{A}^T)$$

- Intersection of two Gaussians – remains Gaussian

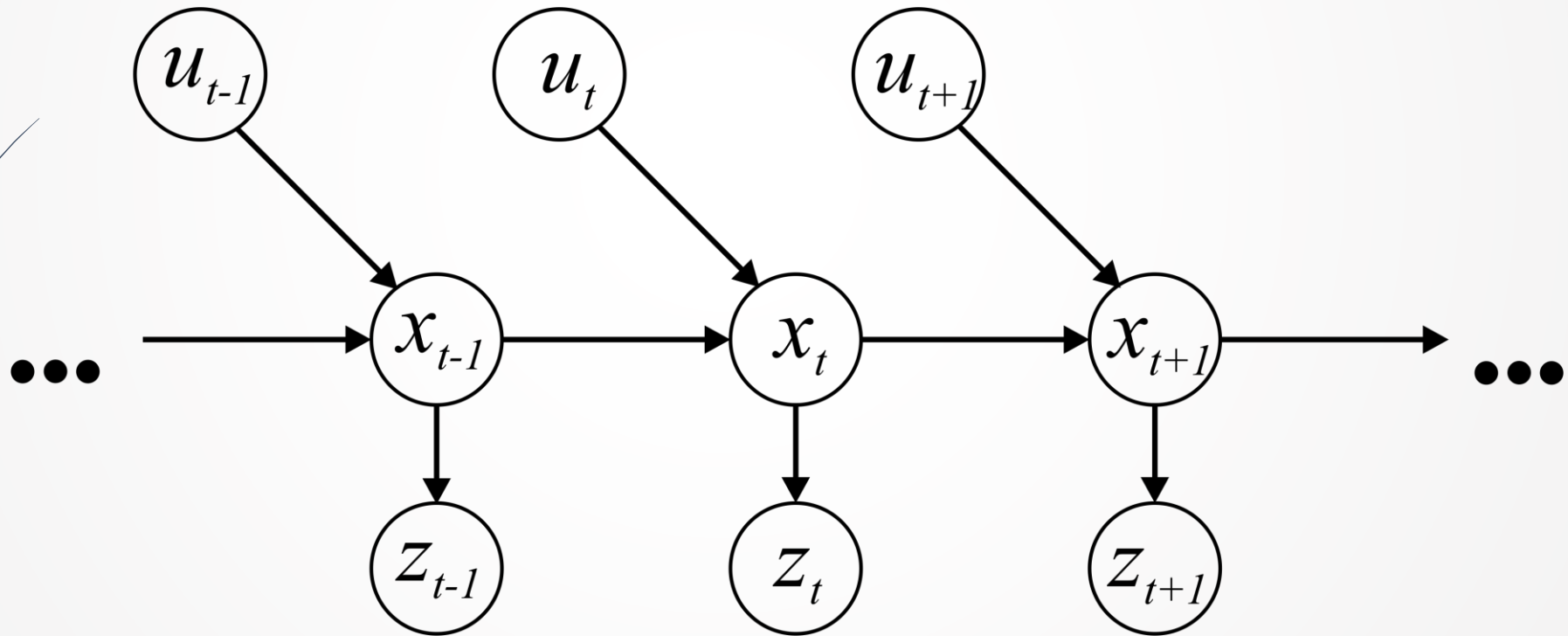
$$\mathbf{X}_1 \sim \mathcal{N}(\boldsymbol{\mu}_1, \boldsymbol{\Sigma}_1), \mathbf{X}_2 \sim \mathcal{N}(\boldsymbol{\mu}_2, \boldsymbol{\Sigma}_2)$$

$$p(\mathbf{X}_1)p(\mathbf{X}_2) = \mathcal{N}\left(\frac{\boldsymbol{\Sigma}_2}{\boldsymbol{\Sigma}_1 + \boldsymbol{\Sigma}_2}\boldsymbol{\mu}_1 + \frac{\boldsymbol{\Sigma}_1}{\boldsymbol{\Sigma}_1 + \boldsymbol{\Sigma}_2}\boldsymbol{\mu}_2, \frac{1}{\boldsymbol{\Sigma}_1^{-1} + \boldsymbol{\Sigma}_2^{-1}}\right)$$



# Linear Process Model

- Consider a time-discrete stochastic process (Markov chain)





# Linear Process Model

- ▶ Consider a time-discrete stochastic process
- ▶ Represent the estimated state (belief) with a Gaussian

$$\mathbf{x}_t \sim \mathcal{N}(\mu_t, \Sigma_t)$$

# Linear Process Model

- ▶ Consider a time-discrete stochastic process
- ▶ Represent the estimated state (belief) with a Gaussian

$$\mathbf{x}_t \sim \mathcal{N}(\mu_t, \Sigma_t)$$

- ▶ Assume that the system evolves linearly over time, then depends linearly on the controls, and has zero-mean, normally distributed process noise

$$\mathbf{x}_t = \mathbf{A}\mathbf{x}_{t-1} + \mathbf{B}\mathbf{u}_t + \epsilon_t$$

- ▶ With  $\epsilon_t \sim \mathcal{N}(\mathbf{0}, \mathbf{Q})$

# Linear Observations

- Further, assume we make observations that depend linearly on the state and that are perturbed zero-mean, normally distributed observation noise

$$\mathbf{z}_t = \mathbf{C}\mathbf{x}_t + \delta_t$$

- With  $\delta_t \sim \mathcal{N}(\mathbf{0}, \mathbf{R})$

# Kalman Filter

- Estimates the state  $x_t$  of a discrete-time controlled process that is governed by the linear stochastic difference equation

$$\mathbf{x}_t = \mathbf{A}\mathbf{x}_{t-1} + \mathbf{B}\mathbf{u}_t + \epsilon_t$$

- And (linear) measurements of the state

$$\mathbf{z}_t = \mathbf{C}\mathbf{x}_t + \delta_t$$

- With  $\epsilon_t \sim \mathcal{N}(\mathbf{0}, \mathbf{Q})$  and  $\delta_t \sim \mathcal{N}(\mathbf{0}, \mathbf{R})$

# Kalman Filter

- ▶ State  $\mathbf{x} \in \mathbb{R}^n$
- ▶ Controls  $\mathbf{u} \in \mathbb{R}^l$
- ▶ Observations  $\mathbf{z} \in \mathbb{R}^k$
- ▶ Process equation  $\mathbf{x}_t = \underset{nxn}{\mathbf{A}}\mathbf{x}_{t-1} + \underset{n \times l}{\mathbf{B}}\mathbf{u}_t + \epsilon_t$
- ▶ Measurement equation  $\mathbf{z}_t = \underset{n \times k}{\mathbf{C}}\mathbf{x}_t + \delta_t$

# Kalman Filter

- Initial belief is Gaussian

$$Bel(x_0) = \mathcal{N}(\mathbf{x}_0; \mu_0, \Sigma_0)$$

- Next state is also Gaussian (linear transformation)

$$\mathbf{x}_t \sim \mathcal{N}(\mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t, \mathbf{Q})$$

- Observations are also Gaussian

$$\mathbf{z}_t \sim \mathcal{N}(\mathbf{C}\mathbf{x}_t, \mathbf{R})$$

# Recall: Bayes Filter Algorithm

- ▶ For each step, do:
  - ▶ Apply motion model

$$\overline{Bel}(\mathbf{x}_t) = \int p(\mathbf{x}_t | \mathbf{x}_{t-1}, \mathbf{u}_t) Bel(\mathbf{x}_{t-1}) d\mathbf{x}_{t-1}$$

- ▶ Apply sensor model

$$Bel(\mathbf{x}_t) = \eta p(\mathbf{z}_t | \mathbf{x}_t) \overline{Bel}(\mathbf{x}_t)$$



# From Bayes Filter to Kalman Filter

- ▶ For each step, do:
  - ▶ Apply motion model

$$\overline{Bel}(\mathbf{x}_t) = \int \underbrace{p(\mathbf{x}_t | \mathbf{x}_{t-1}, \mathbf{u}_t)}_{\mathcal{N}(\mathbf{x}_t; \mathbf{A}\mathbf{x}_{t-1} + \mathbf{B}\mathbf{u}_k t, \mathbf{Q})} \underbrace{Bel(\mathbf{x}_{t-1})}_{\mathcal{N}(\mathbf{x}_{t-1}; \mu_{t-1}, \Sigma_{t-1})} d\mathbf{x}_{t-1}$$

# From Bayes Filter to Kalman Filter

- ▶ For each step, do:
  - ▶ Apply motion model

$$\begin{aligned}\overline{Bel}(\mathbf{x}_t) &= \int \underbrace{p(\mathbf{x}_t | \mathbf{x}_{t-1}, \mathbf{u}_t)}_{\mathcal{N}(\mathbf{x}_t; \mathbf{A}\mathbf{x}_{t-1} + \mathbf{B}\mathbf{u}_t, \mathbf{Q})} \underbrace{Bel(\mathbf{x}_{t-1})}_{\mathcal{N}(\mathbf{x}_{t-1}; \mu_{t-1}, \Sigma_{t-1})} d\mathbf{x}_{t-1} \\ &= \mathcal{N}(\mathbf{x}_t; \mathbf{A}\mu_{t-1} + \mathbf{B}\mathbf{u}_t, \mathbf{A}\Sigma\mathbf{A}^T + \mathbf{Q}) \\ &= \mathcal{N}(\mathbf{x}_t; \bar{\mu}_t, \bar{\Sigma}_t)\end{aligned}$$

# From Bayes Filter to Kalman Filter

- ▶ For each step, do:
  - ▶ Apply sensor model

$$\begin{aligned}\overline{Bel}(\mathbf{x}_t) &= \eta \underbrace{p(\mathbf{z}_t | \mathbf{x}_t)}_{\mathcal{N}(\mathbf{z}_t; \mathbf{C}\mathbf{x}_t, \mathbf{R})} \underbrace{\overline{Bel}(\mathbf{x}_t)}_{\mathcal{N}(\mathbf{x}_t; \bar{\mu}_t, \bar{\Sigma}_t)} \\ &= \mathcal{N}(\mathbf{x}_t; \bar{\mu}_t + \mathbf{K}_t(\mathbf{z}_t - \mathbf{C}\bar{\mu}), (\mathbf{I} - \mathbf{K}_t)\mathbf{C})\bar{\Sigma}) \\ &= \mathcal{N}(x_t; \mu_t, \Sigma_t)\end{aligned}$$

- ▶ With  $\mathbf{K}_t = \bar{\Sigma}_t \mathbf{C}^T (\mathbf{C} \bar{\Sigma}_t \mathbf{C}^T + \mathbf{R})^{-1}$  (Kalman Gain)

# From Bayes Filter to Kalman Filter

Blends between our previous estimate  $\bar{\mu}_t$  and the discrepancy between our sensor observations and our predictions.

The degree to which we believe in our sensor observations is the Kalman Gain. And this depends on a formula based on the errors of sensing etc. In fact it depends on the ratio between our uncertainty  $\Sigma$  and the uncertainty of our sensor observations  $R$ .

$$\bar{\mu}_t + \mathbf{K}_t (\mathbf{z}_t - \mathbf{C}\bar{\mu})$$

old mean                      Kalman Gain

# From Bayes Filter to Kalman Filter

- ▶ For each step, do:
  - ▶ Apply sensor model

$$\begin{aligned}\overline{Bel}(\mathbf{x}_t) &= \eta \underbrace{p(\mathbf{z}_t | \mathbf{x}_t)}_{\mathcal{N}(\mathbf{z}_t; \mathbf{C}\mathbf{x}_t, \mathbf{R})} \underbrace{\overline{Bel}(\mathbf{x}_t)}_{\mathcal{N}(\mathbf{x}_t; \bar{\mu}_t, \bar{\Sigma}_t)} \\ &= \mathcal{N}(\mathbf{x}_t; \bar{\mu}_t + \mathbf{K}_t(\mathbf{z}_t - \mathbf{C}\bar{\mu}), (\mathbf{I} - \mathbf{K}_t)\mathbf{C})\bar{\Sigma}) \\ &= \mathcal{N}(x_t; \mu_t, \Sigma_t)\end{aligned}$$

- ▶ With  $\mathbf{K}_t = \bar{\Sigma}_t \mathbf{C}^T (\mathbf{C} \bar{\Sigma}_t \mathbf{C}^T + \mathbf{R})^{-1}$  (Kalman Gain)

# Kalman Filter Algorithm

- ▶ For each step, do:
  - ▶ Apply motion model (prediction step)

$$\bar{\boldsymbol{\mu}}_t = \mathbf{A}\boldsymbol{\mu}_{t-1} + \mathbf{B}\mathbf{u}_t$$

$$\bar{\boldsymbol{\Sigma}}_t = \mathbf{A}\boldsymbol{\Sigma}\mathbf{A}^\top + \mathbf{Q}$$

- ▶ Apply sensor model (correction step)

$$\boldsymbol{\mu}_t = \bar{\boldsymbol{\mu}}_t + \mathbf{K}_t(\mathbf{z}_t - \mathbf{C}\bar{\boldsymbol{\mu}}_t)$$

$$\boldsymbol{\Sigma}_t = (\mathbf{I} - \mathbf{K}_t\mathbf{C})\bar{\boldsymbol{\Sigma}}_t$$

- ▶ With  $\mathbf{K}_t = \bar{\boldsymbol{\Sigma}}_t\mathbf{C}^\top(\mathbf{C}\bar{\boldsymbol{\Sigma}}_t\mathbf{C}^\top + \mathbf{R})^{-1}$

# Kalman Filter Algorithm

Prediction & Correction steps  
can happen in any order.

- ▶ For each step, do:
  - ▶ Apply motion model (**prediction step**)

$$\bar{\boldsymbol{\mu}}_t = \mathbf{A}\boldsymbol{\mu}_{t-1} + \mathbf{B}\mathbf{u}_t$$

$$\bar{\boldsymbol{\Sigma}}_t = \mathbf{A}\boldsymbol{\Sigma}\mathbf{A}^\top + \mathbf{Q}$$

- ▶ Apply sensor model (**correction step**)

$$\boldsymbol{\mu}_t = \bar{\boldsymbol{\mu}}_t + \mathbf{K}_t(\mathbf{z}_t - \mathbf{C}\bar{\boldsymbol{\mu}}_t)$$

$$\boldsymbol{\Sigma}_t = (\mathbf{I} - \mathbf{K}_t\mathbf{C})\bar{\boldsymbol{\Sigma}}_t$$

- ▶ With  $\mathbf{K}_t = \bar{\boldsymbol{\Sigma}}_t\mathbf{C}^\top(\mathbf{C}\bar{\boldsymbol{\Sigma}}_t\mathbf{C}^\top + \mathbf{R})^{-1}$



# Kalman Filter Algorithm

Prediction & Correction steps  
can happen in any order.

## Prediction

$$\bar{\mu}_t = \mathbf{A}\mu_{t-1} + \mathbf{B}u_t$$

$$\bar{\Sigma}_t = \mathbf{A}\Sigma\mathbf{A}^\top + \mathbf{Q}$$

## Correction

$$\mu_t = \bar{\mu}_t + \mathbf{K}_t(\mathbf{z}_t - \mathbf{C}\bar{\mu}_t)$$

$$\Sigma_t = (\mathbf{I} - \mathbf{K}_t\mathbf{C})\bar{\Sigma}_t$$

$$\mathbf{K}_t = \bar{\Sigma}_t\mathbf{C}^\top(\mathbf{C}\bar{\Sigma}_t\mathbf{C}^\top + \mathbf{R})^{-1}$$

# Complexity

- Highly efficient: Polynomial in the measurement dimensionality  $k$  and state dimensionality  $n$

$$O(k^{2.376} + n^2)$$

- Optimal for linear Gaussian systems
  - But most robots are nonlinear! This is why in practice we use Extended Kalman Filters and other approaches.

# KF: Indicative Questions

- Describe the Kalman Filter for a linear process of the form  $\dot{x} = Ax + Bu$
- Explain the statistical role of the Kalman Gain



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## Topic: Extended Kalman Filter

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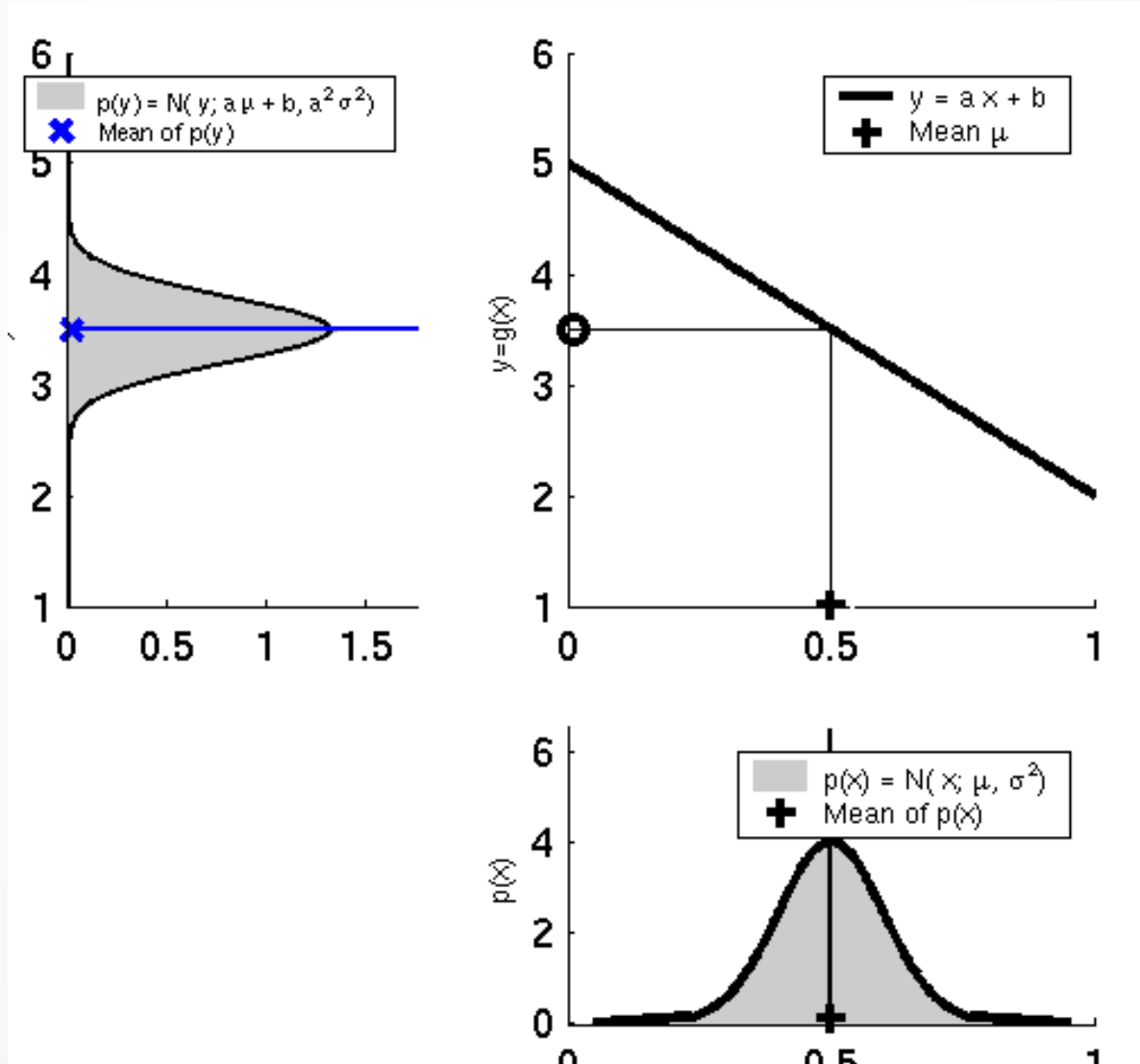
# Kalman Filter Assumptions

- ▶ Gaussian distributions and noise
- ▶ Linear motion and observation model
- ▶ **What if this is not the case?**

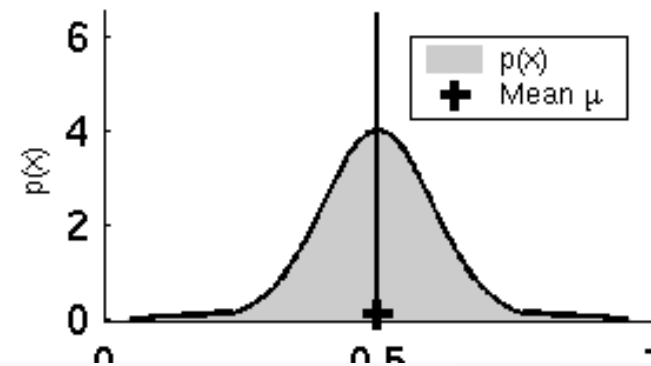
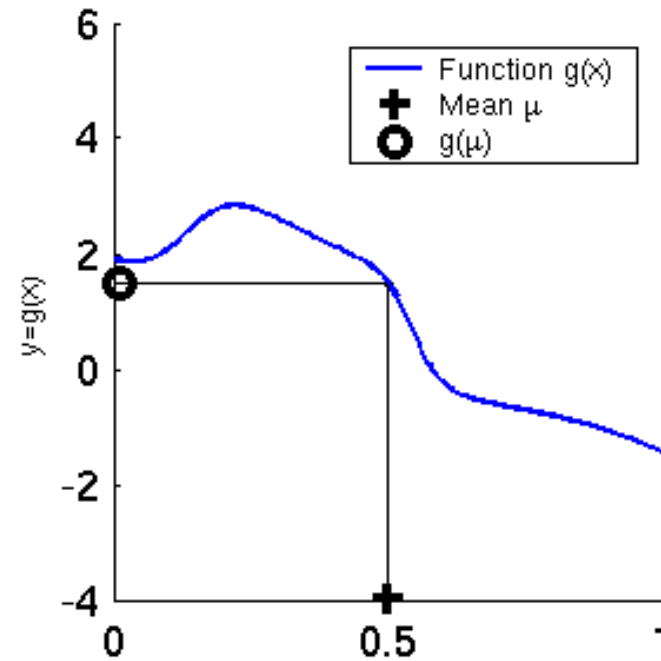
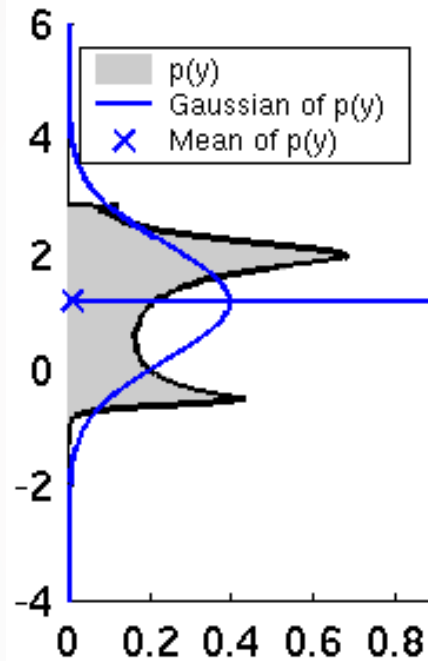
$$x_t = A_t x_{t-1} + B_t u_t + \epsilon_t$$

$$z_t = C_t x_t + \delta_t$$

# Linearity Assumption Revisited



# Nonlinear Function





# Nonlinear Dynamical Systems

- Real-life robots are mostly nonlinear systems.
- The **motion equations** are expressed as **nonlinear differential (or difference) equations**:

$$x_t = g(u_t, x_{t-1})$$

- Also leading to a **nonlinear observation function**:

$$z_t = h(x_t)$$

# Taylor Expansion

- Solution: approximate via linearization of both functions

- **Motion Function:**

$$\begin{aligned} g(x_{t-1}, u_t) &\approx g(\mu_{t-1}, u_t) + \frac{\partial g(\mu_{t-1}, u_t)}{\partial x_{t-1}} (x_{t-1} - \mu_{t-1}) \\ &= g(\mu_{t-1}, u_t) + G_t(x_{t-1} - \mu_{t-1}) \end{aligned}$$

- **Observation Function:**

$$\begin{aligned} h(x_t) &\approx h(\bar{\mu}_t) + \frac{\partial h(\bar{\mu}_t)}{\partial x_t} (x_t - \mu_t) \\ &= h(\bar{\mu}_t) + H_t(x_t - \mu_t) \end{aligned}$$

# Reminder: Jacobian Matrix

- ▶ It is a non-square matrix  $m \times n$  in general
- ▶ Given a vector-valued function:

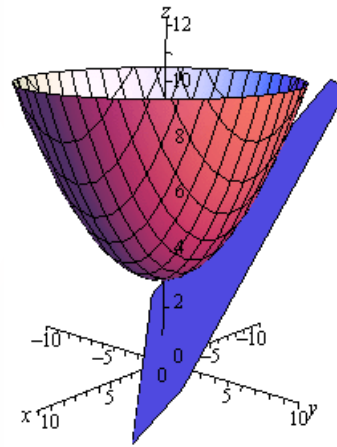
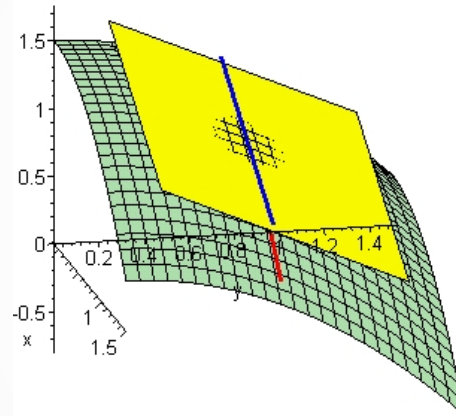
$$g(x) = \begin{pmatrix} g_1(x) \\ g_2(x) \\ \vdots \\ g_m(x) \end{pmatrix}$$

- ▶ The **Jacobian matrix** is defined as:

$$G_x = \begin{pmatrix} \frac{\partial g_1}{\partial x_1} & \frac{\partial g_1}{\partial x_2} & \cdots & \frac{\partial g_1}{\partial x_n} \\ \frac{\partial g_2}{\partial x_1} & \frac{\partial g_2}{\partial x_2} & \cdots & \frac{\partial g_2}{\partial x_n} \\ \vdots & \vdots & \cdots & \vdots \\ \frac{\partial g_m}{\partial x_1} & \frac{\partial g_m}{\partial x_2} & \cdots & \frac{\partial g_m}{\partial x_n} \end{pmatrix}$$

# Reminder: Jacobian Matrix

- It is the orientation of the tangent plane to the vector-valued function at a given point



Courtesy: K. Arras

- Generalizes the gradient of a scaled-valued function.

# Extended Kalman Filter

- ▶ For each time step, do:
- ▶ **Apply Motion Model:**

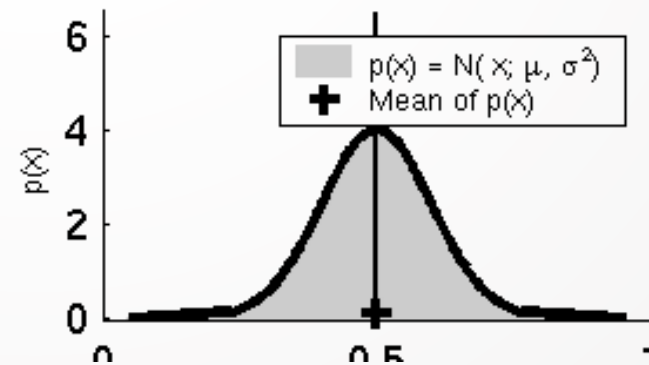
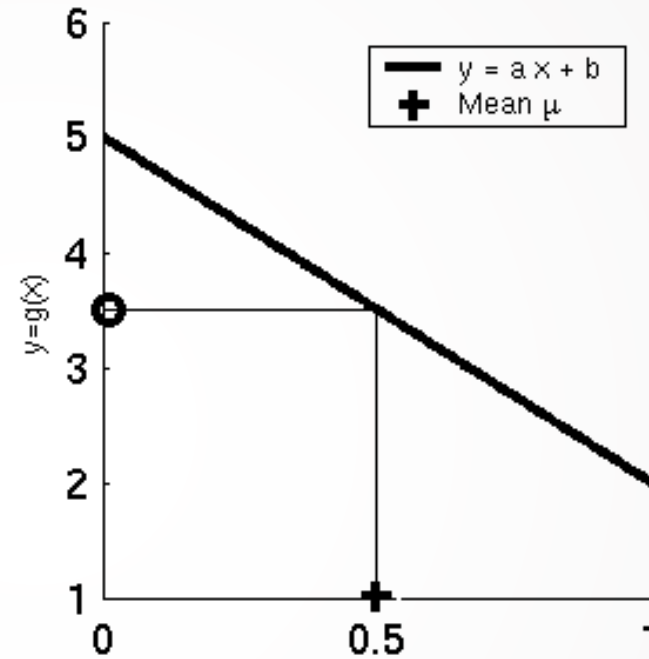
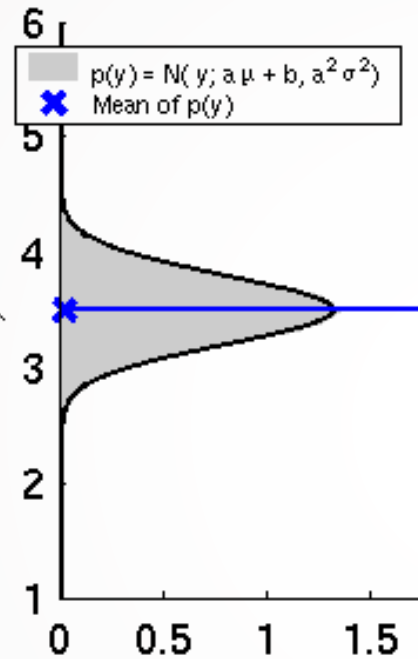
$$\begin{aligned}\bar{\mu}_t &= g(\mu_{t-1}, u_t) \\ \bar{\Sigma}_t &= G_t \Sigma G_t^\top + Q \quad \text{with } G_t = \frac{\partial g(\mu_{t-1}, u_t)}{\partial x_{t-1}}\end{aligned}$$

- ▶ **Apply Sensor Model:**

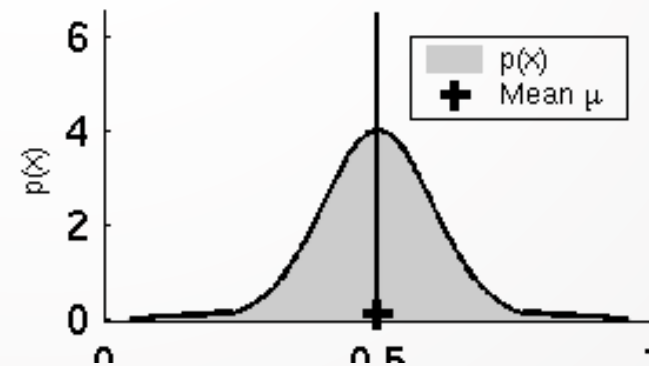
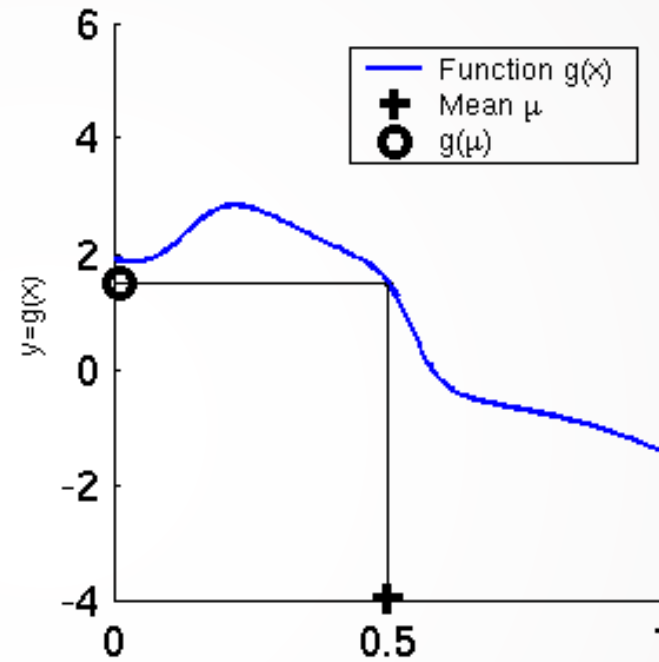
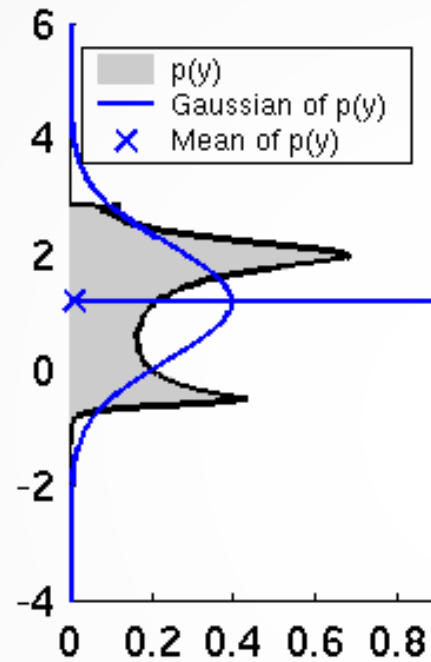
$$\begin{aligned}\mu_t &= \bar{\mu}_t + K_t(z_t - h(\bar{\mu}_t)) \\ \Sigma_t &= (I - K_t H_t) \bar{\Sigma}_t\end{aligned}$$

where  $K_t = \bar{\Sigma}_t H_t^\top (H_t \bar{\Sigma}_t H_t^\top + R)^{-1}$  and  $H_t = \frac{\partial h(\bar{\mu}_t)}{\partial x_t}$

# Linearity Assumption Revisited

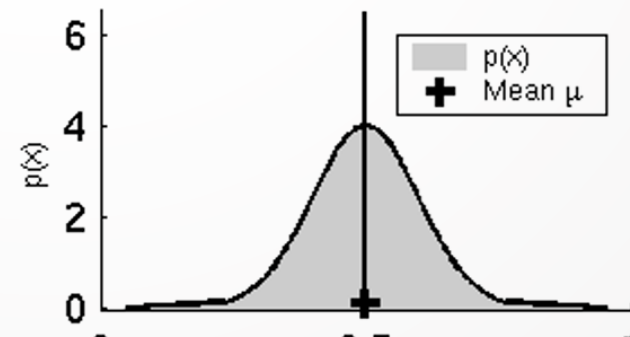
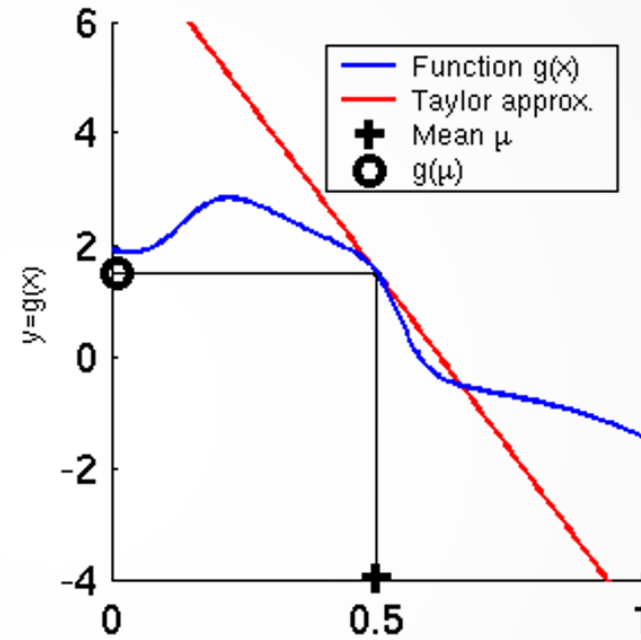
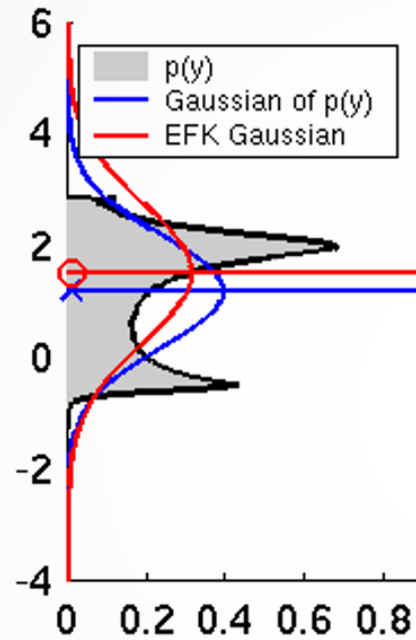


# Nonlinear Function

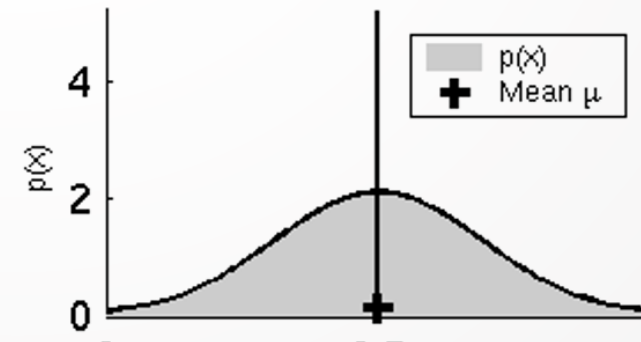
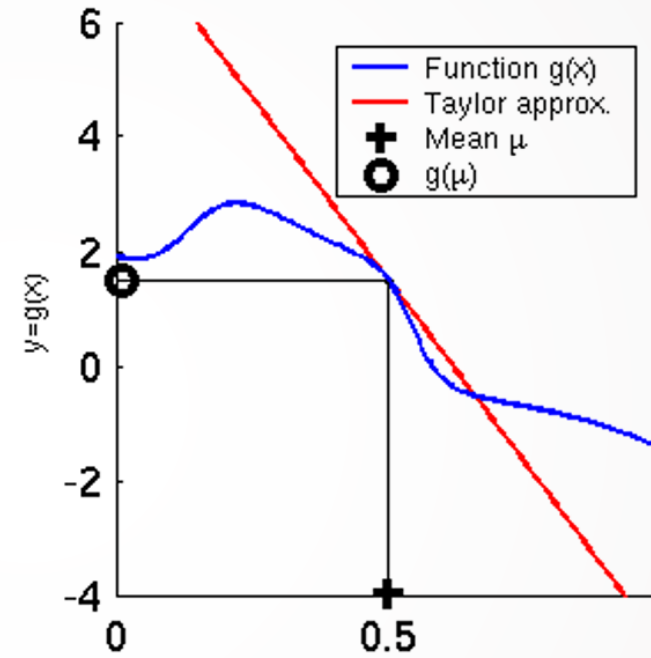
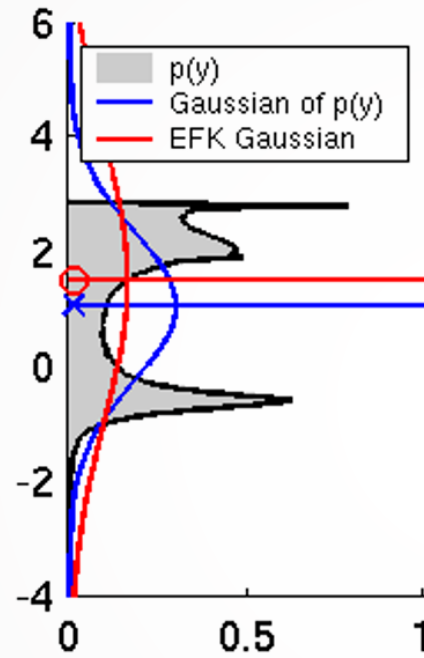




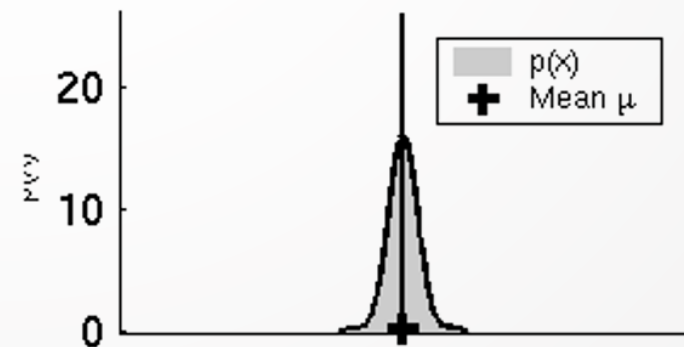
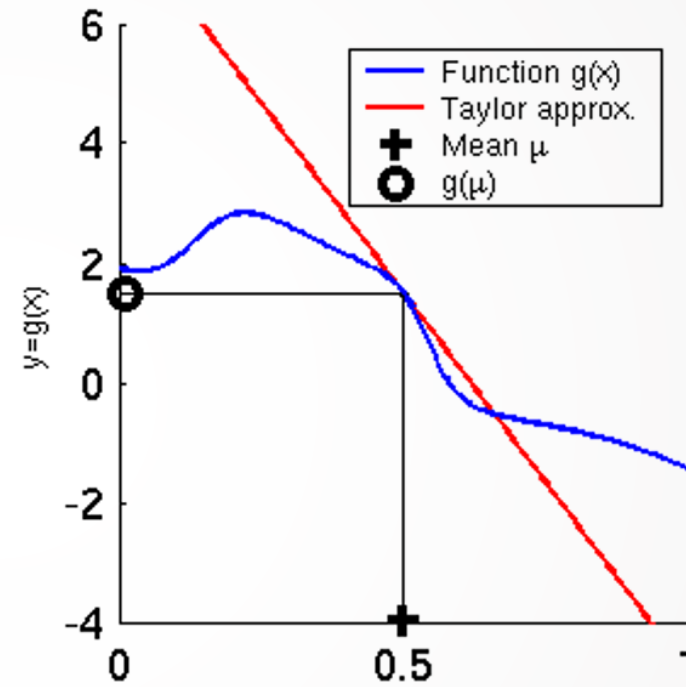
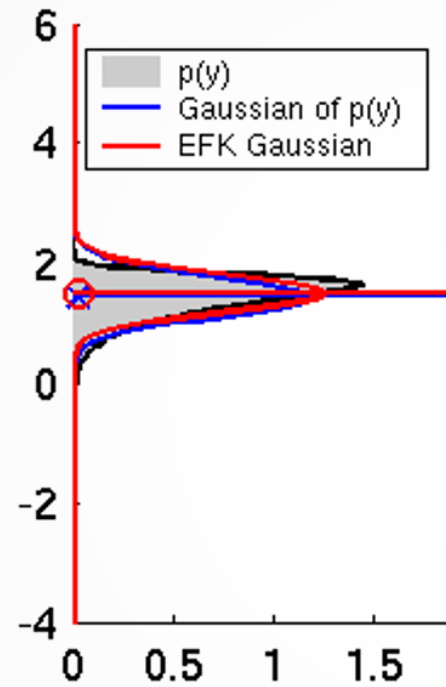
# EKF Linearization (1)



## EKF Linearization (2)



## EKF Linearization (3)



# Linearized Motion Model

- The linearized model leads to:

$$p(x_t \mid u_t, x_{t-1}) \approx \det(2\pi R_t)^{-\frac{1}{2}} \exp \left( -\frac{1}{2} (x_t - g(u_t, \mu_{t-1}) - G_t (x_{t-1} - \mu_{t-1}))^T R_t^{-1} \underbrace{(x_t - g(u_t, \mu_{t-1}) - G_t (x_{t-1} - \mu_{t-1}))}_{\text{linearized model}} \right)$$

- $R_t$  describes the noise of the motion.

# Linearized Observation Model

- The linearized model leads to:

$$p(z_t \mid x_t) = \det(2\pi Q_t)^{-\frac{1}{2}} \exp \left( -\frac{1}{2} (z_t - h(\bar{\mu}_t) - H_t (x_t - \bar{\mu}_t))^T Q_t^{-1} (z_t - \underbrace{h(\bar{\mu}_t) - H_t (x_t - \bar{\mu}_t)}_{\text{linearized model}}) \right)$$

- $Q_t$  describes the noise of the motion.

# EKF Algorithm

1: **Extended\_Kalman\_filter**( $\mu_{t-1}, \Sigma_{t-1}, u_t, z_t$ ):

2:  $\bar{\mu}_t = \underline{g(u_t, \mu_{t-1})}$

3:  $\bar{\Sigma}_t = G_t \Sigma_{t-1} G_t^T + R_t$

4:  $K_t = \bar{\Sigma}_t H_t^T (H_t \bar{\Sigma}_t H_t^T + Q_t)^{-1}$

5:  $\mu_t = \bar{\mu}_t + K_t (z_t - \underline{h(\bar{\mu}_t)})$

6:  $\Sigma_t = (I - K_t H_t) \bar{\Sigma}_t$

7: *return*  $\mu_t, \Sigma_t$

$$A_t \leftrightarrow G_t$$

$$C_t \leftrightarrow H_t$$

KF vs EKF



# EKF Summary

- Extension of the Kalman Filter.
- One way to deal with nonlinearities.
- Performs local linearizations.
- Works well in practice for moderate nonlinearities.
- Large uncertainty leads to increased approximation error.

# EKF: Indicative Questions

- Describe the Extended Kalman Filter for a linear process of the form  $\dot{x} = f(x, u)$
- Explain the statistical role of the Kalman Gain for nonlinear systems





# CS491/691: Introduction to Aerial Robotics

## **Topic: Autonomous Exploration**

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# The Exploration path planning problem

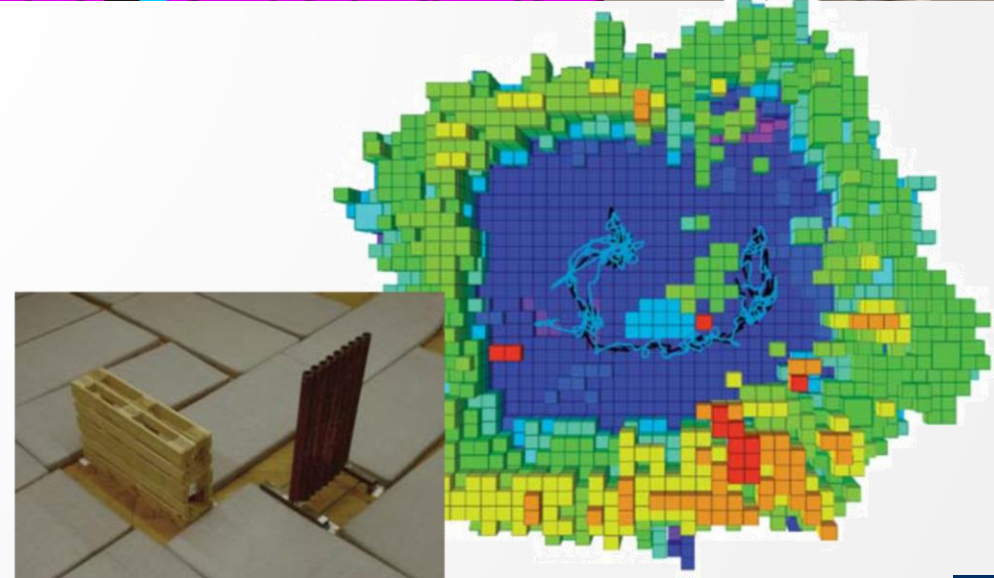
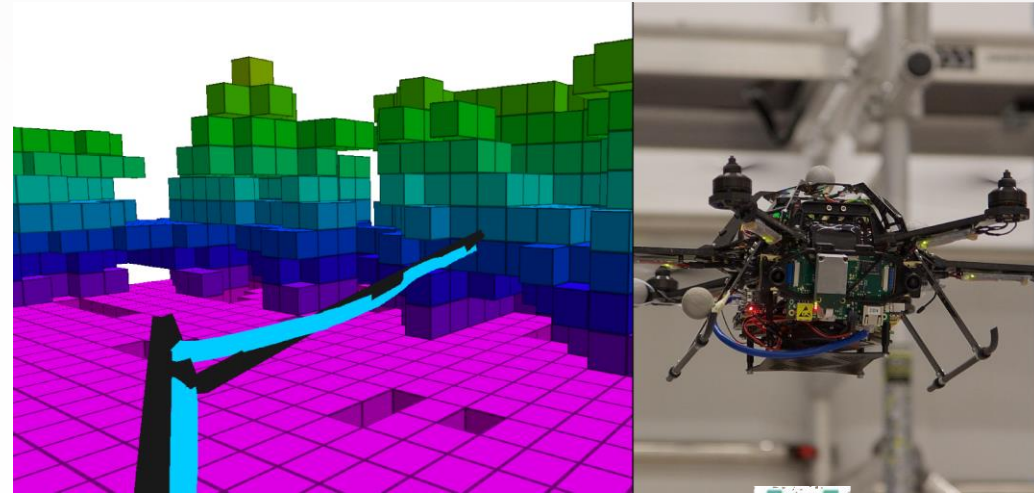
## Problem Definition: Volumetric Exploration

The exploration path planning problem consists in **exploring a previously unknown bounded 3D space**  $V \subset \mathbb{R}^3$ . This is to determine which parts of the initially unmapped space  $V_{unm} = V$  are free  $V_{free} \subset V$  or occupied  $V_{occ} \subset V$ . The operation is subject to vehicle kinematic and dynamic constraints, localization uncertainty and limitations of the employed sensor system with which the space is explored.

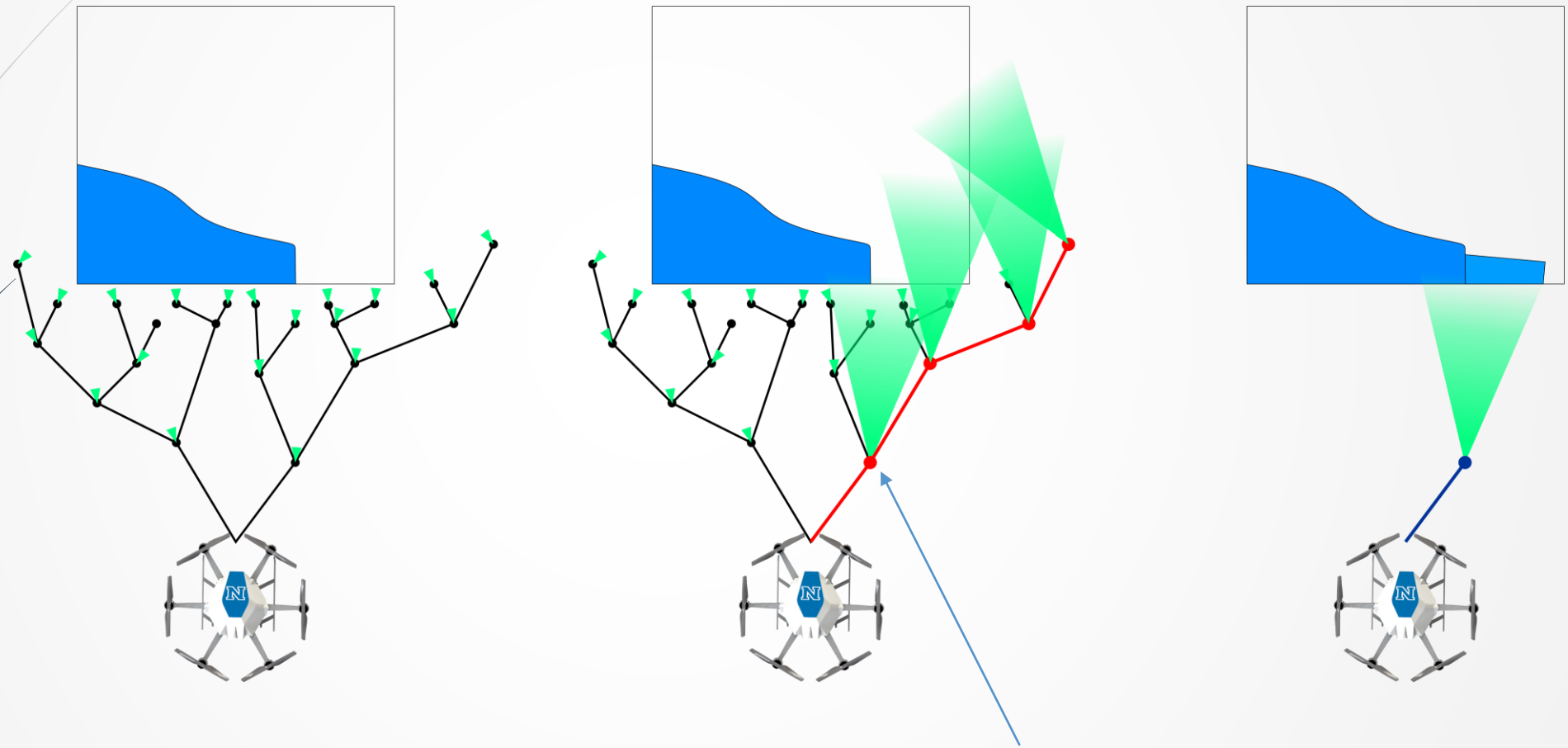
- ▶ As for most sensors the perception stops at surfaces, hollow spaces or narrow pockets can sometimes not be explored with a given setup. This residual space is denoted as  $V_{res}$ . The problem is considered to be fully solved when  $V_{free} \cup V_{occ} = V \setminus V_{res}$ .
- ▶ Due to the nature of the problem, a suitable path has to be computed online and in real-time, as free space to navigate is not known prior to its exploration.

# Receding Horizon Next-Best-View Exploration

- **Goal:** Fast and complete exploration of unknown environments.
- Define **sequences of viewpoints based on vertices sampled using random trees.**
- Select the path with the best sequence of best views.
- Execute only the first step of this best exploration path.
- Update the map after each iteration.
- Repeat the whole process in a receding horizon fashion.



# Exploration Planning (**nbvp1anner**)

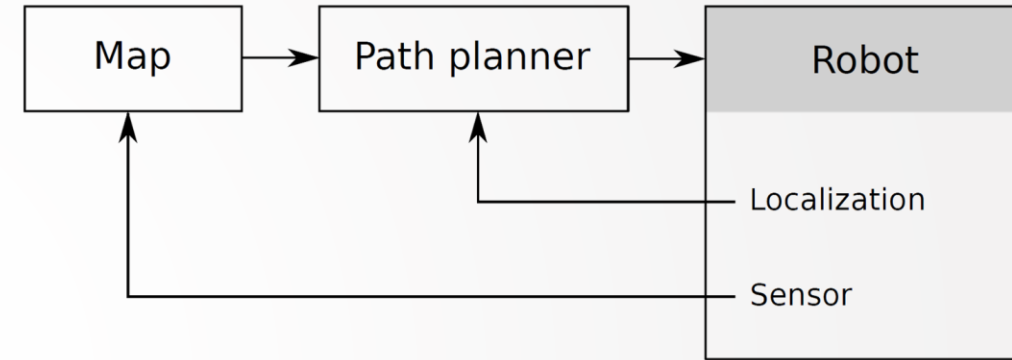


$$\mathbf{Gain}(n_k) = \mathbf{Gain}(n_{k-1}) + \mathbf{Visible}(\mathcal{M}, \xi_k) e^{-\lambda c(\sigma_{k-1}^k)}$$

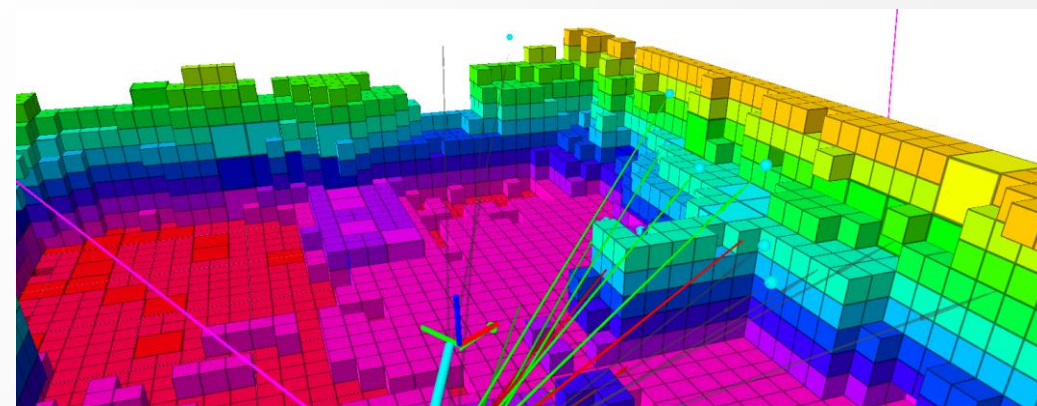


# Exploration Planning (**nbvplanner**)

- **Environment representation:** Occupancy Map dividing space  $V$  into  $m \in M$  cubical volumes (voxels) that can be marked either as free, occupied or unmapped.
- Use of the `octomap` representation to enable computationally efficient access and search.
- Paths are planned only within the free space  $V_{free}$  and collision free point-to-point navigation is inherently supported.
- At each viewpoint/configuration of the environment  $\xi$ , the amount of space that is visible is computed as  $Visible(M, \xi)$



The Receding Horizon Next-Best-View Exploration Planner relies on the real-time update of the 3D map of the environment.

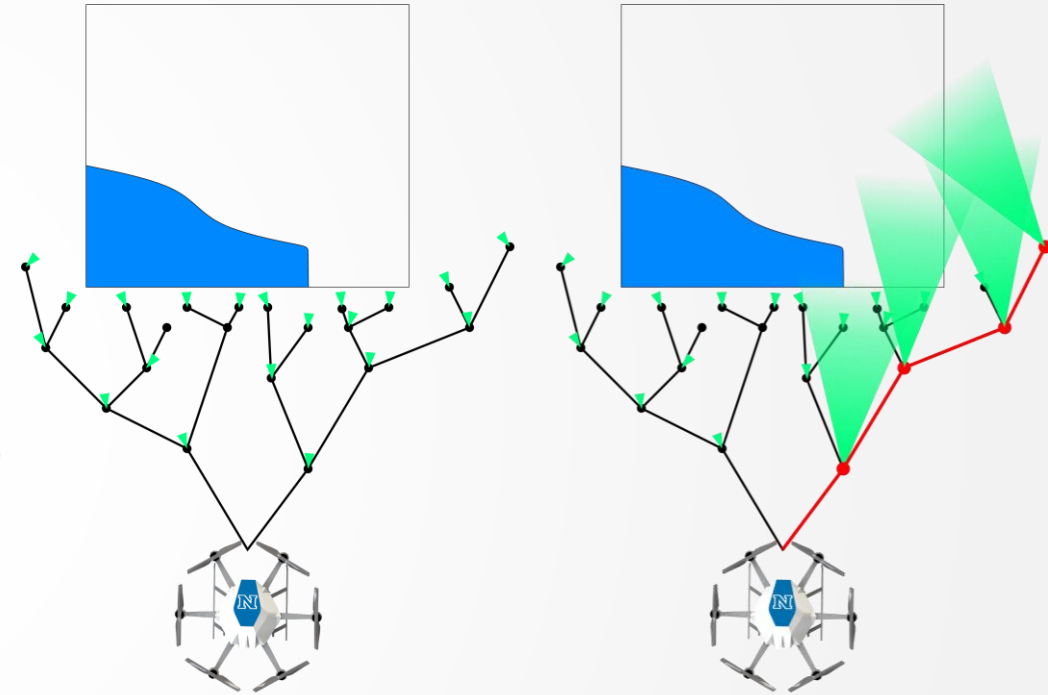


# Exploration Planning (**nbvplanner**)

- ▶ **Tree-based exploration:** At every iteration, **nbvplanner** spans a random tree of finite depth. Each vertex of the tree is annotated regarding the collected Information Gain – a metric of how much new space is going to be explored.

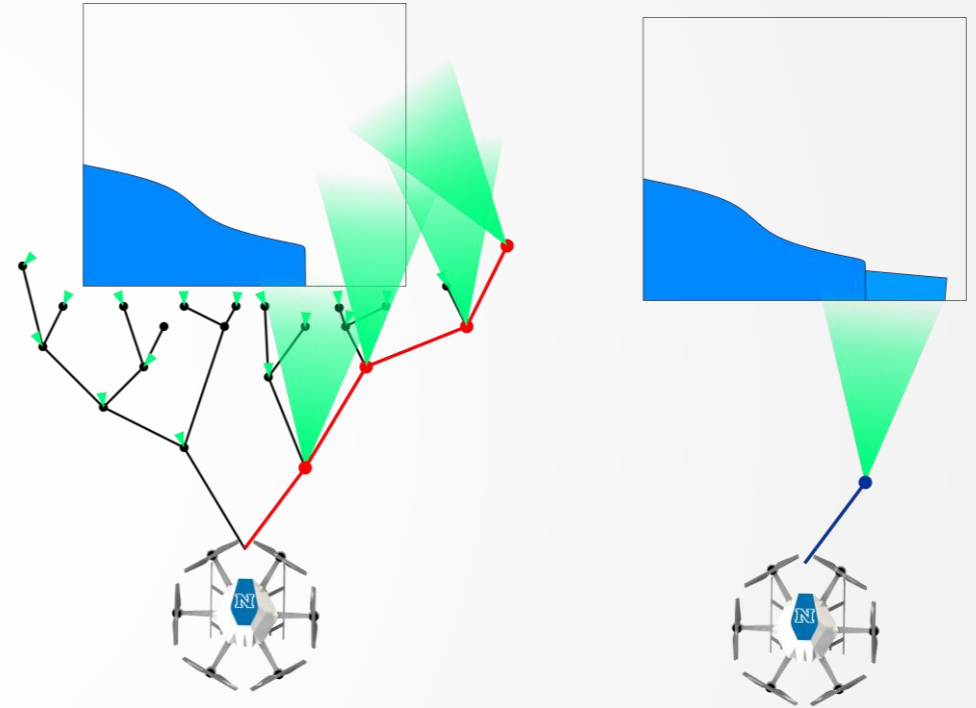
$$\mathbf{Gain}(n_k) = \mathbf{Gain}(n_{k-1}) + \mathbf{Visible}(\mathcal{M}, \xi_k) e^{-\lambda c(\sigma_{k-1}^k)}$$

- ▶ Within the sampled tree, evaluation regarding the path that overall leads to the highest information gain is conducted. This corresponds to the **best path** for the given iteration. It is a sequence of next-best-views as sampled based on the vertices of the spanned random tree.



# Exploration Planning (**nbvplanner**)

- **Receding Horizon:** For the extracted best path of viewpoints, only the first viewpoint is actually executed.
- The system moves to the first viewpoint of the path of best viewpoints.
- The map is subsequently updated.
- Subsequently, the whole process is repeated within the next iteration. This gives rise to a receding horizon operation.



# Exploration Planning (**nbvplanner**) Algorithm

## nbvplanner Iterative Step

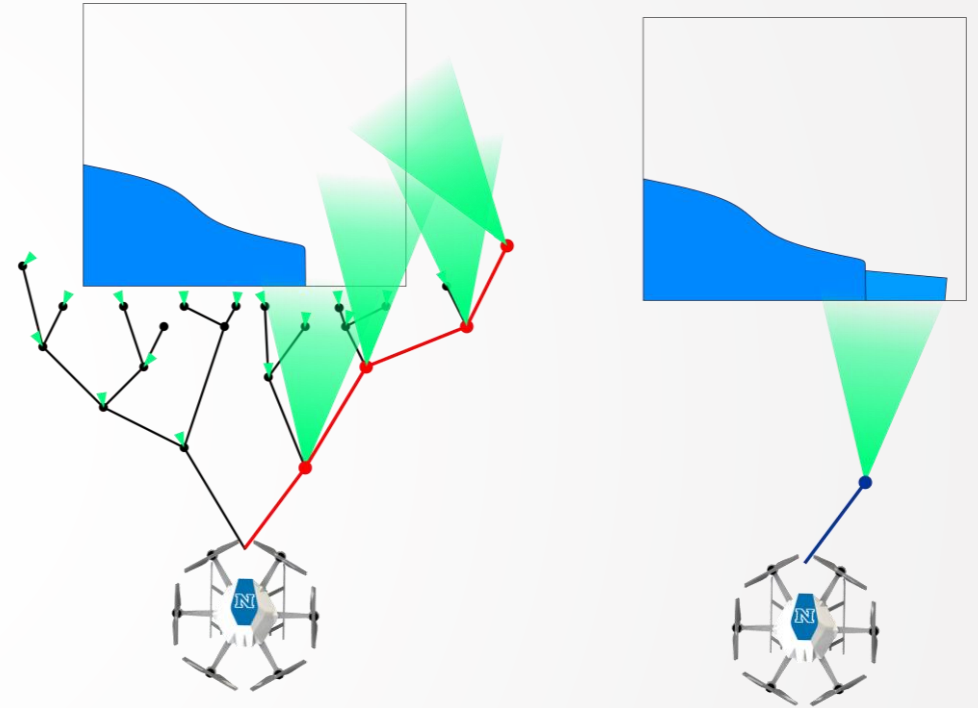
- $\xi_0 \leftarrow$  current vehicle configuration
- Initialize  $\mathbf{T}$  with  $\xi_0$  and, unless first planner call, also previous best branch
- $g_{best} \leftarrow 0$  // Set best gain to zero
- $n_{best} \leftarrow n_0(\xi_0)$  // Set best node to root
- $N_T \leftarrow$  Number of nodes in  $\mathbf{T}$
- **while**  $N_T < N_{max}$  or  $g_{best} == 0$  **do**
  - Incrementally build  $\mathbf{T}$  by adding  $n_{new}(\xi_{new})$
  - $N_T \leftarrow N_T + 1$
  - **if**  $\text{Gain}(n_{new}) > g_{best}$  **then**
    - $n_{best} \leftarrow n_{new}$
    - $g_{best} \leftarrow \text{Gain}(n_{new})$
  - **if**  $N_T > N_{TOT}$  **then**
    - Terminate exploration
- $\sigma \leftarrow \text{ExtractBestPathSegment}(n_{best})$
- Delete  $\mathbf{T}$
- **return**  $\sigma$



# Exploration Planning (**nbvplanner**) Remarks

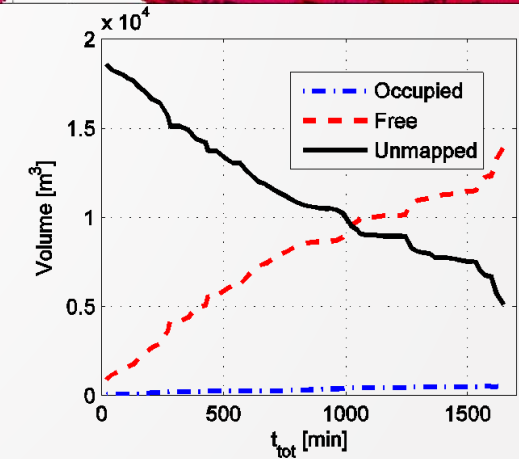
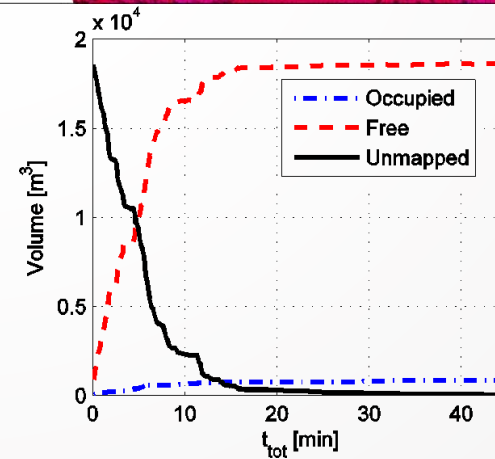
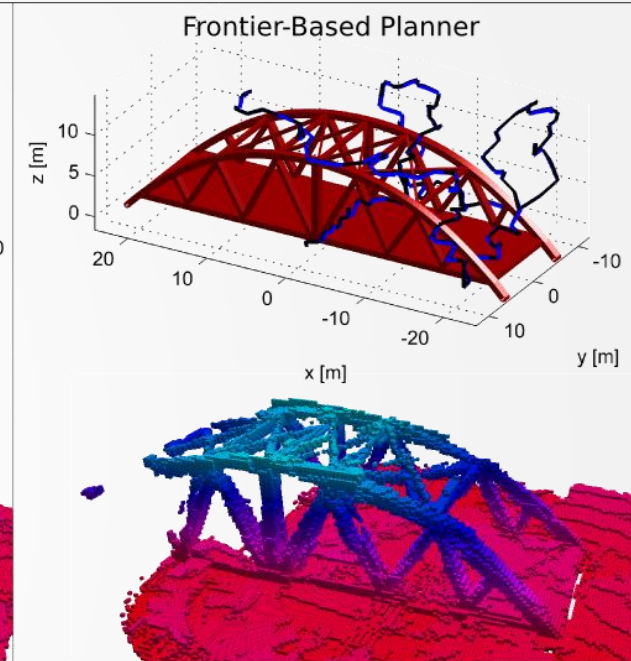
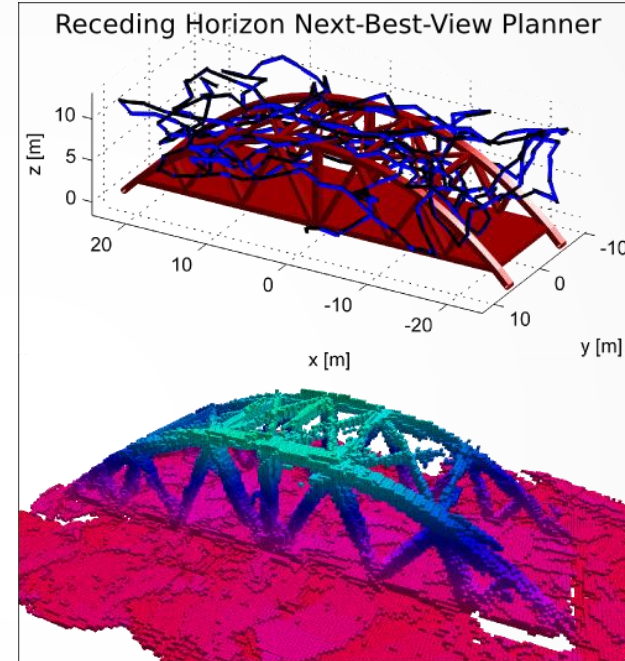
- **Inherently Collision-free:** As all paths of `nbvplanner` are selected along branches within RRT-based spanned trees, all paths are inherently collision-free.
- **Computational Cost:** `nbvplanner` has a thin structure and most of the computational cost is related with collision-checking functionalities. The formula that expresses the complexity of the algorithm takes the form:

$$\mathcal{O}(N_{\mathbb{T}} \log(N_{\mathbb{T}}) + N_{\mathbb{T}}/r^3 \log(V/r^3) + N_{\mathbb{T}}(d_{\max}^{\text{planner}}/r)^4 \log(V/r^3))$$



# nbvplanner Evaluation (Simulation)

- **Simulation-based evaluation:**  
Explore a bridge.
- Comparison with Frontier-based exploration.



# Extension to Surface Inspection

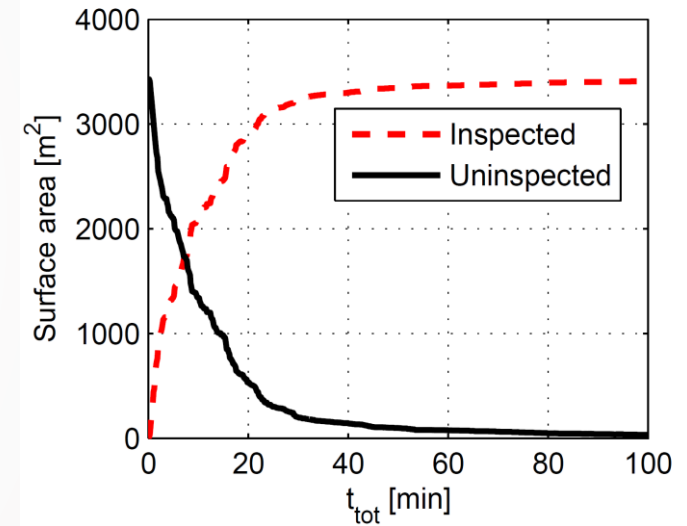
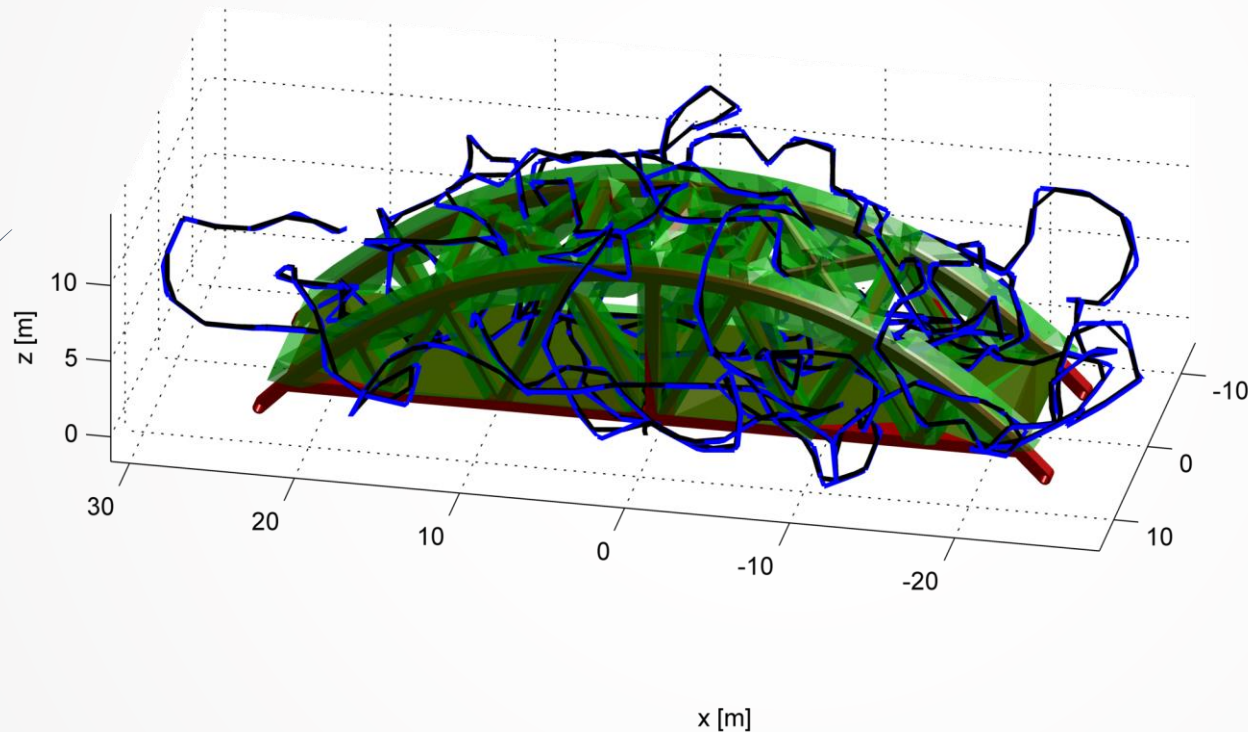
## Problem Definition: Surface Inspection

Given a surface  $S$ , find a collision free path  $\sigma$  starting at an initial configuration  $\xi_{init} \in \Xi$  that leads to the inspection of the part  $S_{insp}$ , when being executed, such that there does not exist any collision free configuration from which any piece of  $S \setminus S_{insp}$  could be inspected. Thus,  $S_{insp} = S \setminus S_{res}$ .

- ▶ Let  $\bar{V}_s \subseteq \Xi$  be the set of all configurations from which the surface piece  $s \subseteq S$  can be inspected. Then the residual surface is given as  $S_{res} = \bigcup_{s \in S} (s | \bar{V}_s = 0)$

# nbvplanner Evaluation (Simulation)

- **Extension to surface inspection:** The robot identifies trajectories that locally ensure maximum information gain regarding surface coverage.

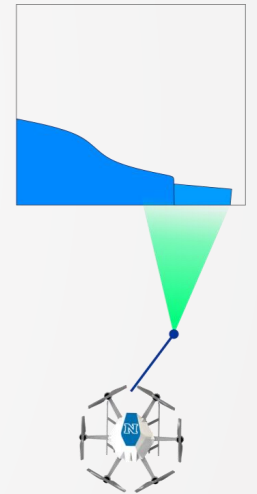
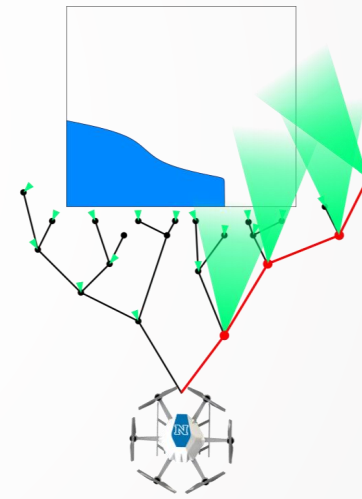


y [m]



# EPP: Indicative Questions

- Describe a path planning algorithm for volumetric exploration
- What is the role of receding horizon path planning for the problem of unknown area exploration







**Thank you!**

Please ask your question!