

CS302 - Data Structures

using C++

Topic: Left-Leaning Red-Black Trees Implementation

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Relying on the implementation by Lee Stanza – consider these slides only for lecture use. Link to the online implementation by Lee Stanza:

http://www.teachsolaigames.com/articles/balanced_left_leaning.html

Left-Leaning Red-Black Trees Implementation

• Data Structures

- For evaluation purposes, a simple key-value pair is defined, where data is sorted with an unsigned 32bit integer.
 - The value is a blind `void*` pointer.

```
struct VoidRef_t
{
    U32 Key;
    void* pContext;
};
```

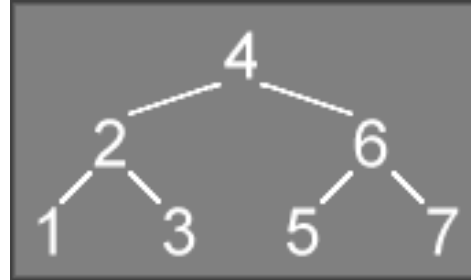
- Each node in the tree uses the following structure

```
struct LLTB_t
{
    VoidRef_t Ref;
    bool IsRed;
    LLTB_t* pLeft;
    LLTB_t* pRight;
};
```

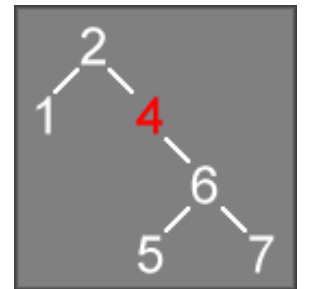
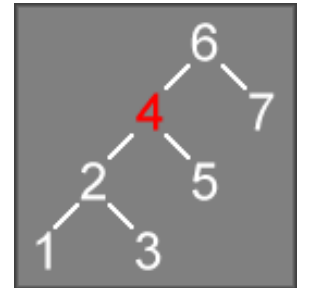
Left-Leaning Red-Black Trees Implementation

- **Insertion**

- Consider the following tree



- One operation used by both insertion and deletion is RotateLeft.
 - This function will rotate the nodes to the left – “6” will take on the red/black color that “4” had before and “4” will change to be a red node.
- Likewise, the RotateRight function will rotate the nodes to the right.
 - This function will make “2” take on the red/black color that “4” had previously and then change “4” to be a red node.

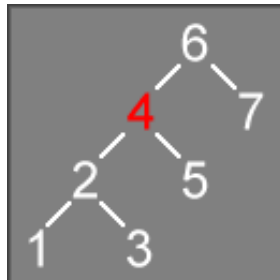
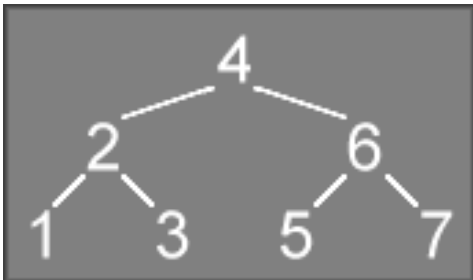


Left-Leaning Red-Black Trees Implementation

- Insertion

- RotateLeft

```
static QzLLTB_t* RotateLeft(QzLLTB_t *pNode)
{
    QzLLTB_t *pTemp = pNode->pRight;
    pNode->pRight = pTemp->pLeft;
    pTemp->pLeft = pNode;
    pTemp->IsRed = pNode->IsRed;
    pNode->IsRed = true;
    return pTemp;
}
```



Left-Leaning Red-Black Trees Implementation

- Insertion

- RotateRight

```
static QzLLTB_t* RotateRight(QzLLTB_t *pNode)
{
    QzLLTB_t *pTemp = pNode->pLeft;
    pNode->pLeft = pTemp->pRight;
    pTemp->pRight = pNode;
    pTemp->IsRed = pNode->IsRed;
    pNode->IsRed = true;
    return pTemp;
}
```

Left-Leaning Red-Black Trees Implementation

- **Insertion**

- **ColorFlip** – Given any node, this method will toggle the red/black color of that node and both of its children

```
static void ColorFlip(QzLLTB_t *pNode)
{
    pNode->IsRed = !pNode->IsRed;

    if (NULL != pNode->pLeft) {
        pNode->pLeft->IsRed = !pNode->pLeft->IsRed;
    }

    if (NULL != pNode->pRight) {
        pNode->pRight->IsRed = !pNode->pRight->IsRed;
    }
}
```

- This operation may introduce a color violation. **We will need to do fix-up.**

Left-Leaning Red-Black Trees Implementation

• Insertion

- **InsertRec** – **Recursively** traverse the tree to find the location of new key insertion.

```
QzLLTB_t* LeftLeaningRedBlack::InsertRec(QzLLTB_t* pNode, VoidRef_t ref)
{
    // Special case for inserting a leaf. Just return the pointer;
    // the caller will insert the new node into the parent node.
    if (NULL == pNode) {
        pNode = NewNode();
        pNode->Ref = ref;
        return pNode;
    }

    // If we perform the color flip here, the tree is assembled as a
    // mapping of a 2-3-4 tree.
    #if defined(USE_234_TREE)
        // This color flip will effectively split 4-nodes on the way down
        // the tree (since 4-nodes must be represented by a node with two
        // red children). By performing the color flip here, the 4-nodes
        // will remain in the tree after the insertion.
        if (IsRed(pNode->pLeft) && IsRed(pNode->pRight)) {
            ColorFlip(pNode);
        }
    #endif
}
```

Left-Leaning Red-Black Trees Implementation

• Insertion

- **InsertRec** – **Recursively** traverse the tree to find the location of new key insertion.

```
// Check to see if the value is already in the tree. If so, we
// simply replace the value of the key, since duplicate keys are
// not allowed.
if (ref.Key == pNode->Ref.Key) {
    pNode->Ref = ref;
}
// Otherwise recurse left or right depending on key value.
// Note: pLeft or pRight may be a NULL pointer before recursing.
// This indicates that pNode is a leaf (or only has one child),
// so the new node will be inserted using the return value.
//
// The other reason for pass-by-value, followed by an assignment,
// is that the recursive call may perform a rotation, so the
// pointer that gets passed in may end up not being the root of
// the subtree once the recursion returns.
else {
    if (ref.Key < pNode->Ref.Key) {
        pNode->pLeft = InsertRec(pNode->pLeft, ref);
    }
    else {
        pNode->pRight = InsertRec(pNode->pRight, ref);
    }
}
```


Left-Leaning Red-Black Trees Implementation

- **Insertion**

- **InsertRec** – **Recursively** traverse the tree to find the location of new key insertion.

```
// If necessary, apply a rotation to get the correct representation
// in the parent node as we're backing out of the recursion. This
// places the tree in a state where the parent can safely apply a
// rotation to restore the required black/red balance of the tree.

// Fix a right-leaning red node: this will assure that a 3-node is
// the left child.
if (IsRed(pNode->pRight) && (false == IsRed(pNode->pLeft)))
{
    pNode = RotateLeft(pNode);
}
```

Left-Leaning Red-Black Trees Implementation

- **Insertion**

- **InsertRec** – **Recursively** traverse the tree to find the location of new key insertion.

```
// Fix two reds in a row: this will rebalance a 4-node.  
if (IsRed(pNode->pLeft) && IsRed(pNode->pLeft->pLeft))  
{  
    pNode = RotateRight(pNode);  
}
```

Left-Leaning Red-Black Trees Implementation

• Insertion

- **InsertRec** – **Recursively** traverse the tree to find the location of new key insertion.

```
// If we perform the color flip here, the tree is assembled as a
// mapping of a 2-3 tree.
#ifdef USE_234_TREE
// This color flip will effectively split 4-nodes on the way back
// out of the tree. By doing this here, there will be no 4-nodes
// left in the tree after the insertion is complete.
if (IsRed(pNode->pLeft) && IsRed(pNode->pRight)) {
    ColorFlip(pNode);
}
#endif

// Return the new root of the subtree that was just updated,
// since rotations may have changed the value of this pointer.
return pNode;
}
```

- Note: USE_234_TREE - will control where the color flip is performed.
 - If done near the beginning of the insertion, this will produce a tree that maps to a 2-3-4 tree.
 - But if the color flip is near the end of the function, the tree will be equivalent to a 2-3 tree.

Left-Leaning Red-Black Trees Implementation

- **Insertion**

- **Insert** – Use InsertRec and ensure that the root of the tree remains black.

```
bool LeftLeaningRedBlack::Insert(VoidRef_t ref)
{
    m_pRoot = InsertRec(m_pRoot, ref);

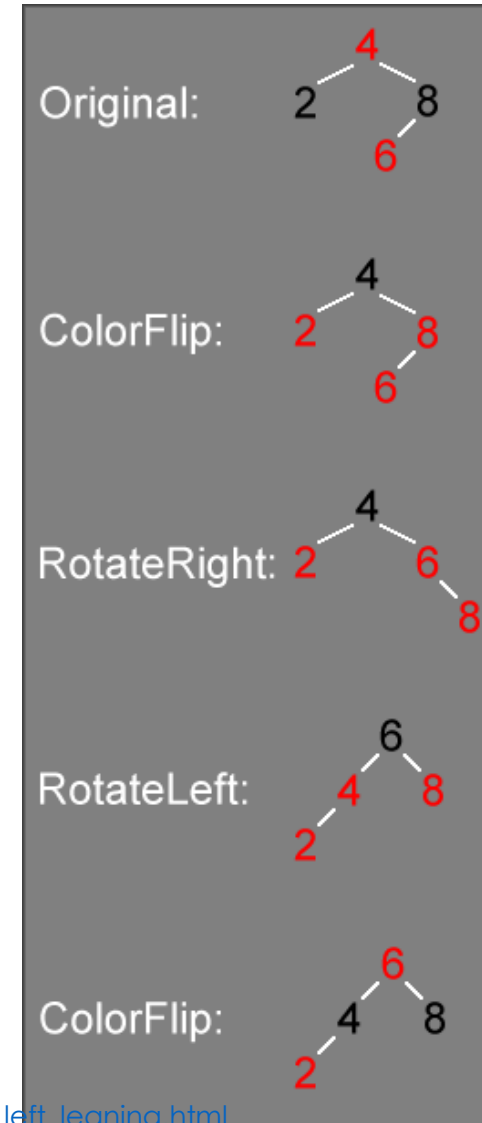
    // The root node of a red-black tree must be black.
    m_pRoot->IsRed = false;

    return true;
}
```

Left-Leaning Red-Black Trees Implementation

- **Deletion**

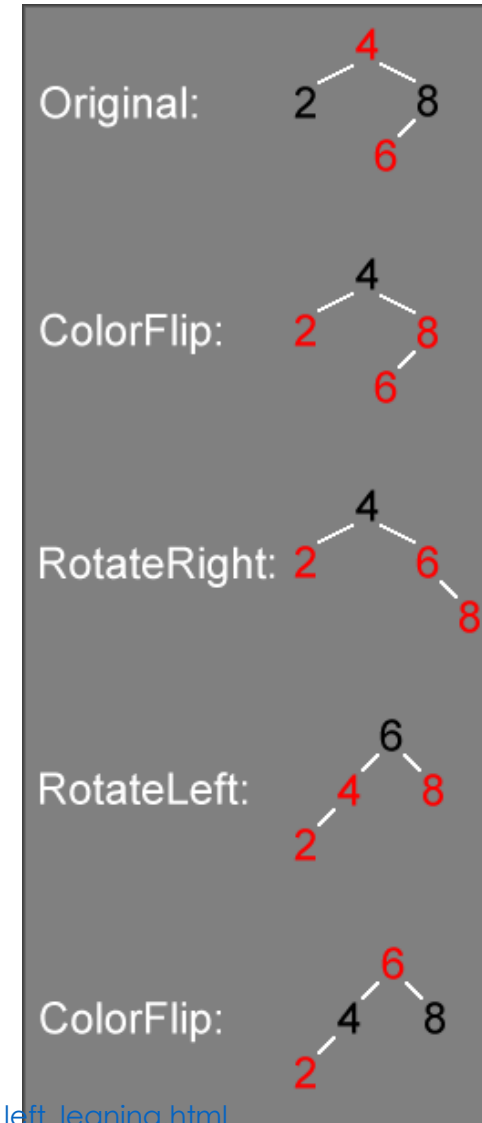
- **MoveRedLeft** – when given a sub-tree that starts with a red node, it applies the following transformations:



Left-Leaning Red-Black Trees Implementation

- **Deletion**

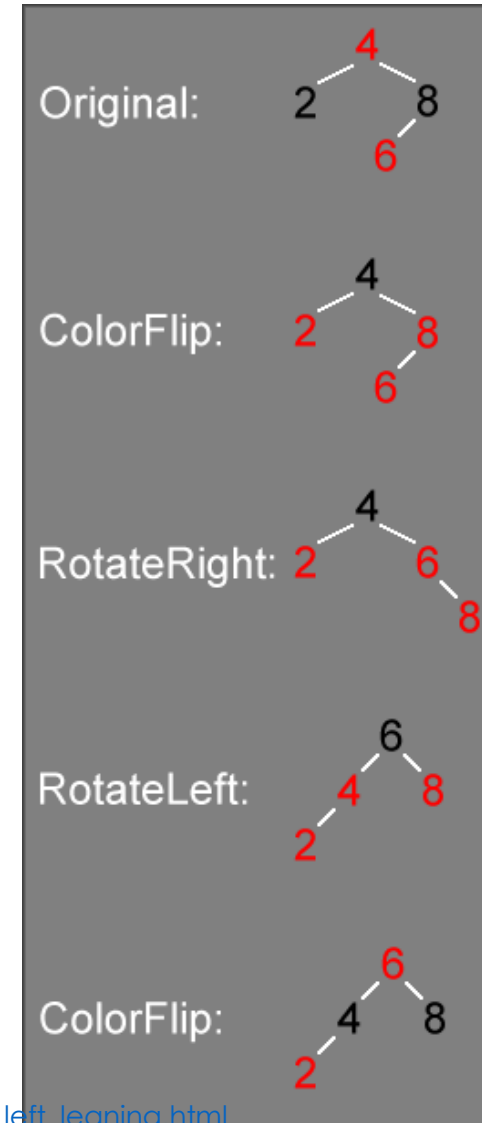
- **MoveRedLeft** – when given a sub-tree that starts with a red node, it applies the following transformations:
 - Goal to change the 3-node (the node with the single red child) from being the right child to being the left child.



Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **MoveRedLeft** – when given a sub-tree that starts with a red node, it applies the following transformations:
 - Goal to change the 3-node (the node with the single red child) from being the right child to being the left child.
 - Once complete, the new root of the subtree is still a red node, but the 3-node is now the left child which preserves “left-leaningness”.



Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **MoveRedLeft**

```
static QzLLTB_t* MoveRedLeft(QzLLTB_t *pNode)
{
    // If both children are black, we turn these three nodes into a
    // 4-node by applying a color flip.
    ColorFlip(pNode);

    // But we may end up with a case where pRight has a red child.
    // Apply a pair of rotations and a color flip to make pNode a
    // red node, both of its children become black nodes, and pLeft
    // becomes a 3-node.
    if ((NULL != pNode->pRight) && IsRed(pNode->pRight->pLeft))
    {
        pNode->pRight = RotateRight(pNode->pRight);
        pNode = RotateLeft(pNode);
        ColorFlip(pNode);
    }
    return pNode;
}
```


Left-Leaning Red-Black Trees Implementation

• Deletion

- **MoveRedRight** – similar / use when pNode has two red children and its left child is a 3 node. **This re-arranges the subtree so that the root is a red node & left-child is still a 3-node**

```
static QzLLTB_t* MoveRedRight(QzLLTB_t *pNode)
{
    // Applying a color flip may turn pNode into a 4-node,
    // with both of its children being red.
    ColorFlip(pNode);

    // However, this may cause a situation where both of pNode's
    // children are red, along with pNode->pLeft->pLeft. Applying a
    // rotation and a color flip will fix this special case, since
    // it makes pNode red and pNode's children black.
    if ((NULL != pNode->pLeft) && IsRed(pNode->pLeft->pLeft))
    {
        pNode = RotateRight(pNode);
        ColorFlip(pNode);
    }
    return pNode;
}
```

Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **FindMin** – deleting internal nodes has to deal with the fact that often re-arranging a significant part of the tree is required.
- **Instead we find the smallest key that is larger than the key being deleted.**

Left-Leaning Red-Black Trees Implementation

• Deletion

- **FindMin** – deleting internal nodes has to deal with the fact that often re-arranging a significant part of the tree is required.
- **Instead we find the smallest key that is larger than the key being deleted.**
- Done by starting at the node's right child, then traversing left until we reach a leaf node.
- The contents of that leaf node can then be used to replace the value being deleted (replacement key).

Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **FindMin** – Find replacement key

```
static QzLLTB_t* FindMin(QzLLTB_t *pNode)
{
    while (NULL != pNode->pLeft) {
        pNode = pNode->pLeft;
    }

    return pNode;
}
```

Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **FindMin** – Find replacement key

```
static QzLLTB_t* FindMin(QzLLTB_t *pNode)
{
    while (NULL != pNode->pLeft) {
        pNode = pNode->pLeft;
    }

    return pNode;
}
```

- **Why is this the solution?**

Left-Leaning Red-Black Trees Implementation

• Deletion

- **DeleteMin** – Delete the empty leaf node found by FindMin

```
QzLLTB_t* LeftLeaningRedBlack::DeleteMin(QzLLTB_t *pNode)
{
    // If this node has no children, we're done.
    // Due to the arrangement of an LLRB tree, the node cannot have a
    // right child.
    if (NULL == pNode->pLeft) {
        Free(pNode);
        return NULL;
    }
    // If these nodes are black, we need to rearrange this subtree to
    // force the left child to be red.
    if ((false == IsRed(pNode->pLeft)) && (false == IsRed(pNode->pLeft->pLeft)))
    {
        pNode = MoveRedLeft(pNode);
    }
    // Continue recursing to locate the node to delete.
    pNode->pLeft = DeleteMin(pNode->pLeft);
    // Fix right-leaning red nodes and eliminate 4-nodes on the way up.
    // Need to avoid allowing search operations to terminate on 4-nodes,
    // or searching may not locate intended key.
    return FixUp(pNode);
}
```

Left-Leaning Red-Black Trees Implementation

• Deletion

- **FixUp** – As we recurse down the tree, the code will leave right-leaning red nodes as unbalanced 4-nodes. **Recover using FixUp.**

```
static QzLLTB_t* FixUp(QzLLTB_t *pNode)
{
    // Fix right-leaning red nodes.
    if (IsRed(pNode->pRight)) {
        pNode = RotateLeft(pNode);
    }
    // Detect if there is a 4-node that traverses down the left.
    // This is fixed by a right rotation, making both of the red
    // nodes the children of pNode.
    if (IsRed(pNode->pLeft) & IsRed(pNode->pLeft->pLeft))
    {
        pNode = RotateRight(pNode);
    }
    // Split 4-nodes.
    if (IsRed(pNode->pLeft) && IsRed(pNode->pRight))
    {
        ColorFlip(pNode);
    }
    return pNode;
}
```

Left-Leaning Red-Black Trees Implementation

• Deletion

- **DeleteRec** – Recursive traversal. This code will leave right-leaning red nodes and unbalanced 4-nodes as it works down the tree. **Will require FixUp.**

```
QzLLTB_t* LeftLeaningRedBlack::DeleteRec(QzLLTB_t *pNode, const U32 key)
{
    if (key < pNode->Ref.Key) {
        if (NULL != pNode->pLeft) {
            // If pNode and pNode->pLeft are black, we may need to
            // move pRight to become the left child if a deletion
            // would produce a red node.
            if ((false == IsRed(pNode->pLeft)) && (false == IsRed(pNode->pLeft->pLeft)))
            {
                pNode = MoveRedLeft(pNode);
            }
            pNode->pLeft = DeleteRec(pNode->pLeft, key);
        }
    }
}
```


Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **DeleteRec** – Recursive traversal. This code will leave right-leaning red nodes and unbalanced 4-nodes as it works down the tree. **Will require FixUp.**

```
else {  
    // If the left child is red, apply a rotation so we make  
    // the right child red.  
    if (IsRed(pNode->pLeft)) {  
        pNode = RotateRight(pNode);  
    }  
  
    // Special case for deletion of a leaf node.  
    // The arrangement logic of LLRBs assures that in this case,  
    // pNode cannot have a left child.  
    if ((key == pNode->Ref.Key) && (NULL == pNode->pRight))  
    {  
        Free(pNode);  
        return NULL;  
    }  
}
```

Left-Leaning Red-Black Trees Implementation

• Deletion

- **DeleteRec** – Recursive traversal. This code will leave right-leaning red nodes and unbalanced 4-nodes as it works down the tree. **Will require FixUp.**

```
// If we get here, we need to traverse down the right node.
// However, if there is no right node, then the target key is
// not in the tree, so we can break out of the recursion.
if (NULL != pNode->pRight) {
    if ((false == IsRed(pNode->pRight)) && (false == IsRed(pNode->pRight->pLeft)))
    {
        pNode = MoveRedRight(pNode);
    }
    // Deletion of an internal node: We cannot delete this node
    // from the tree, so we have to find the node containing
    // the smallest key value that is larger than the key we're
    // deleting. This other key will replace the value we're
    // deleting, then we can delete the node that previously
    // held the key/value pair we just moved.
    if (key == pNode->Ref.Key) {
        pNode->Ref = FindMin(pNode->pRight)->Ref;
        pNode->pRight = DeleteMin(pNode->pRight);
    }
    else {
        pNode->pRight = DeleteRec(pNode->pRight, key);
    }
}
```

Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **DeleteRec** – Recursive traversal. This code will leave right-leaning red nodes and unbalanced 4-nodes as it works down the tree. **Will require FixUp.**

```
    }  
  
    // Fix right-leaning red nodes and eliminate 4-nodes on the way up.  
    // Need to avoid allowing search operations to terminate on 4-nodes,  
    // or searching may not locate intended key.  
    return FixUp(pNode);  
}
```

Left-Leaning Red-Black Trees Implementation

- **Deletion**

- **Delete** – Rely on DeleteRec and also ensure that the root remains black.

```
void LeftLeaningRedBlack::Delete(const U32 key)
{
    if (NULL != m_pRoot) {
        m_pRoot = DeleteRec(m_pRoot, key);

        // Assuming we have not deleted the last node from the tree, we
        // need to force the root to be a black node to conform with the
        // the rules of a red-black tree.
        if (NULL != m_pRoot) {
            m_pRoot->IsRed = false;
        }
    }
}
```

Thank you